

4-01 0400

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
00-030

In ~~Patent~~ Application Of: **WALKER et al.**

#3

09/855,300

Serial No. Not Yet Assigned	Filing Date May 15, 2001	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
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Title: **SYSTEM FOR PROVIDING OFFERS USING A BILLING STATEMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Supplemental Information Disclosure Statement (5 pp.);
PTO Form-1449 (6 pp.), and references cited therein (66); and
Return Receipt Postcard.**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-271** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☒ Charge any additional fee required.

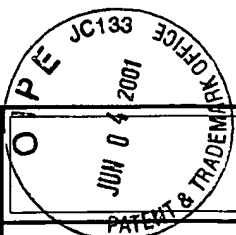
Signature

Dated: **May 31, 2001**

**Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
(203) 461-7337 Phone
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cc:

I certify that this document and fee is being deposited on May 31, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
Signature of Person Mailing Correspondence	
Veronika S. Leliever	
Typed or Printed Name of Person Mailing Correspondence	



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(General - Patent Pending)

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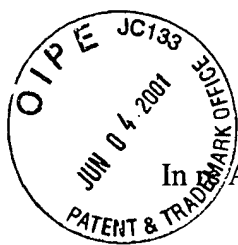
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Application of: WALKER et al.

Serial No.: Not Yet Assigned

Filing Date: May 15, 2001

For: SYSTEM FOR PROVIDING OFFERS
USING A BILLING STATEMENT) Examiner: Not Yet Assigned
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) Group Art Unit: Not Yet Assigned
)
) Attorney Docket No: 00-030
)
) Customer No.: 22927
)
)
)
)
)Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of

Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

☐ A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

☐ The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose

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May 31, 2001

Respectfully submitted,



Dean P. Alderucci
Attorney for Applicants
PTO Registration No. 40,484
Walker Digital Corporation
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Attorney Docket No. 00-030

#8
8-2202
CW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

) Examiner: Not Yet Assigned

Serial No.: Not Yet Assigned

) Group Art Unit: Not Yet Assigned

Filing Date: May 15, 2001

) Attorney Docket No: 00-030

) Customer No.: 22927

For: SYSTEM FOR PROVIDING OFFERS
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Applicants

WALKER et al.

Filing Date

May 15, 2001

Group Art Unit

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E
	A	5,060,165	10/22/91	Schumacher et al.	364	478	
	B	5,187,710	2/16/93	Chau et al.	370	110.1	
	C	5,200,889	4/06/93	Mori	364	401	
	D	5,287,268	2/15/94	McCarthy	364	405	
	E	5,380,991	1/10/95	Valencia et al.	235	383	
	F	5,420,606	5/30/95	Begum et al.	345	156	
	G	5,537,314	7/16/96	Kanter	364	406	
	H	5,655,089	8/05/97	Bucci	395	240	
	I	5,956,700	9/21/99	Landry	705	40	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No
	J	WO 97/24680		Abstract				
	K	JP 4178770 A	6/25/92	Japan				
	L	JP 405257950 A	10/08/93	Japan Abstract				
	M	EP 0 607 686 A2	12/16/93	Europe				
	N	JP 7073375 A	3/17/95	Japan Abstract				

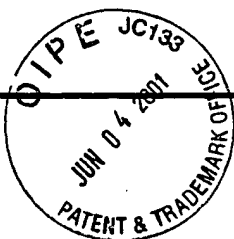
OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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	O	WO 96/31848	10/10/96	PCT				
	P	WO 96/36926	11/21/96	PCT				
	Q	JP 410187318 A	7/14/98	Japan Abstract				
	R	WO 98/06050	2/12/98	PCT				

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

	S	"J. Baker Drops Y2K Claims Against Andersen Consulting", RT News, undated.
	T	"E.Card Web Page", e.card E-Commerce Services from First USA, undated.
	U	Rozen, Miriam, "What's New in Joint Promotions", The New York Times, March 10, 1985, Section 3, Pg. 23, Column 1, Financial Desk.
	V	"The Smart Card: News & Views", Our Cards: Smart Cards; Using Smart Cards to Deliver New Value, (http://www.mastercard.com/ourcards/smartcard/articles/article4.html), Copyright 1994-2000.
	W	Armstrong, Larry, "Coupon Clippers, Save Your Scissors", Business Week, June 20, 1994, Section: Information Processing, No. 3377 at Pg. 164.

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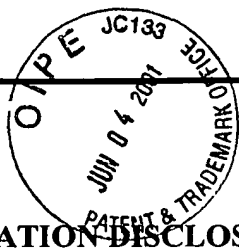
Not Yet Assigned

X	"AltaVista Signup", AltaVista's Special offer to you..., Website Advertisement, Copyright 1995-98.
Y	"Circuit City-General Content Display", A Circuit City first!, Sign Up with Compuserve and receive up to \$400, Website Advertisement, Copyright 1996-2000.
Z	"Five great reasons to enroll", Coolsavings, Coupons - and More!, Copyright 1996-1999.
AA	Poletti, Therese, "Latest Twist On Free PCs - Free iMacs", Yahoo News, Copyright 1996-1999.
BB	"Visa - Smart Cards - About Smart Cards", What is a Smart Card?, Copyright 1996-1999.
CC	Meece, Mickey, "MasterCard, Retailers Testing Point of Sale Discounts Program", The American Banker, September 24, 1996, Section: Credit/Debit/ATMS at Pg. 14.
DD	"Battle of the bogoff: Roderick Oram on a clash between retailers and manufacturers", Financial Times (London), Section: Management: Marketing And Advertising at Pg. 14.
EE	No title, Communications Daily, May 15, 1996, Vol. 16, No. 95 at Pg. 4
FF	"Private Issue by Discover; Happy Anniversary here's your Cashback Bonus Award", Brochure mailing, Copyright 1997.
GG	"Wall Street Access...: Active Trader Rebate Program - Signal, BMI, QuoTrek and Stock Edg", Our Low Commissions And Fees; Active Trader Rebate Program, (http://www.wsaccess.com/active_rebate_program.htm), Copyright 1997.
HH	"SNET Cellular Value Plans", Brochure, Copyright 1997.
II	Beyer, Leslie, "Target marketing made easy; supermarkets", Grocery Marketing, February 1997, No. 2, Vol. 63 at Pg. 45, ISSN: 0888-0360.
JJ	"MasterCard Purchasing Card", Reduce Costs While Maintaining Control Over Your Company's Purchasing Process, (http://www.mastercard.com/business/peruchasingcards6.html), download date: February 28, 1997.
KK	"Frankie Hollywood's Public Relations Newsletter" (http://www.americasbrightest.com/employer/frankie/news970401.html), April 1-15 1997 Newsletter.
LL	"Internet Update", Newsbytes, August 4, 1997.

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MM

"Chase; Switch your Chase MasterCard to a Shell MasterCard from Chase and you'll earn: Free FormulaShell Gasoline", Letter mailing, September 1997.

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"Custom Extras from American Express", Brochure, November 1997.

OO

"Consumer Promotions", Planet U, making promotional offers available to U, Copyright 1998, 1999.

PP

"e-centives FAQs", e-centives, Personalized Savings Network, (<http://www.emagnet.com/de.../memfaq.shtml>), Copyright 1998-1999.

QQ

"MCI & Blockbuster Freeflix Video Rental Program", (<http://www.mci.com/aboutus/products/glossary/home/freeflix.shtml>), Copyright 1998.

RR

"mySimon inc. - Simon's Search & Compare Shopping", mySimon Company Info, mySimon Fact Sheet, (<http://www.mysimon.com/>), Copyright 1998.

SS

"In the News...IAFC Launches NexCard(sm)- The First True Internet VISA", (<http://www.nextcard.com/release1.html>), download date: February 16, 1998.

TT

"MCI PrePaid Card Retail Promotional Opportunites", (<http://www.mci.com/aboutus/products/prepaid/promotional.shtml>), download date: April 21, 1998.

UU

"Take 5 minutes to open an account now and take 10% off your purchases all day", Club Macy's Membership plus 10% Off All Day Today, Application, September 1998.

VV

"First USA News Releases", First USA Introduces The E.Card For Online Shoppers, (http://www.firstusa.com/publicrelations/release/98_11_19.htm), November 19, 1998.

WW

"American Express Invests In SaveSmart (11/23/98)", (<http://www.google.com/search?q=cache:20c0cbd737606.../savesmart.asp+savesmart&hl=e>), November 23, 1998.

XX

"Sprint Sense Anytime", The Biggest Blockbuster Of All Time Is Yours From Sprint, Copyright 1999.

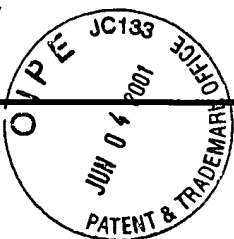
YY

Shermach, Kelly, "Retailers Rebuild Roots As Loyalty Pioneers", Card Marketing, The Monthly News Magazine For The Card Marketing Professional, January 1999.

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AAA

O'Brien, Timothy L., "The Markets: Market Place -- Taking the Danger Out of Risk; Chase Says Models Helped It Avoid Financial Minefields", The New York Times, January 20, 1999, Section C, Page 1, Column 2, Business/Financial Desk.

BBB

Cox, Beth, "Visa, TravelWeb Enter Online Marketing Partnership", Electronic Commerce News, (<http://www.InternetNews.com>), January 21, 1999.

CCC

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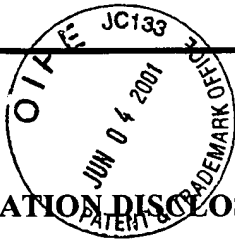
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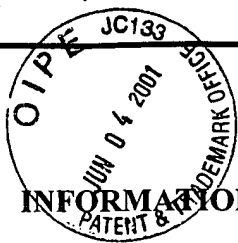
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	B	4,703,423	10/27/87	Bado et al.	364	400	
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	F	5,025,372	6/18/91	Burton et al.	364	406	
	G	5,173,851	12/22/92	Off et al.	364	401	
	H	5,202,826	4/13/93	McCarthy	364	405	
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	K	WO 97/46961	12/11/97	PCT				

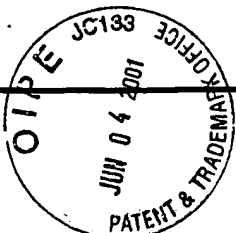
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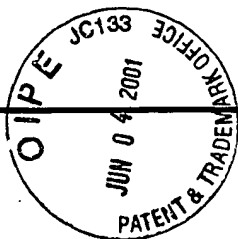
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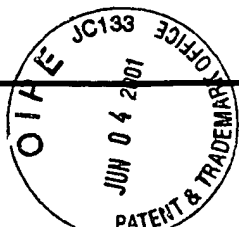
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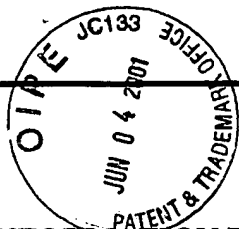
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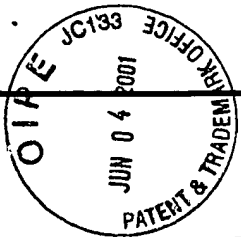
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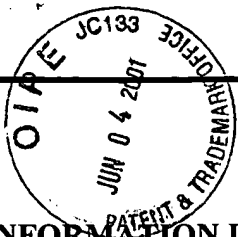
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